

4th Annual

NAVIGATING RUSSIA SANCTIONS COMPLEXITIES

September 27, 2021 (EDT) • Virtual Conference





Benchmark Sanctions Best Practices with Senior In-House Experts:

- Carrier Corporation
- Citi
- Gallagher
- General Motors
- Hunting PLC
- JPMorgan Chase & Co.
- SAP America Inc.
- Uber Technologies Inc.

Stay Current on the Latest Developments including:

- Implications of Latest Russia Sanctions
- How to Perform Accurate Sanctions Screening for Russian Persons and Entities
- Unravelling the Latest Developments for Energy, Oil & Gas
- Resolving Red Flags: Common Due Diligence Challenges When Working with Russia
- Communicating Sanctions and Business Restrictions to Businesses, Clients and Counterparties

Benefits of a Virtual Conference

-  Dedicated content on Russia
-  Convenient access from the comfort of your home or office, eliminating the costs and stress of travel
-  1:1 networking on our interactive virtual platform
-  Earn CLE credit

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Part of C5 Group's
ECONOMIC SANCTIONS GLOBAL SERIES

The Russia sanctions landscape continues to evolve in many significant ways. **ACI's 4th Navigating Russia Sanctions Complexities Virtual Conference** returns this year in a virtual format to help you stay abreast of current developments, understand how they will impact you, and successfully mitigate your exposure to sanctions risk amid shifting regulatory demands and opaque ownership structures. Please join us at the only dedicated Russia sanctions conference for an unparalleled learning experience.

“
...the content provided by the speakers was top-notch. I know this subject area fairly well but still came away with a lot of notes from people with more expertise than I.

– Director, Trade Compliance, Fluor

The quality of the material covered was top notch, as were the presenters.

– Compliance Advisory Specialist, Capital One

”

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Export Control US (Global)
SAP



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Executive Director,
Global Financial Crime
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Inna Tsimerman
Chief International Trade
Counsel
Gallagher



Elizabeth Zane
Partner
Orrick

CONFERENCE AGENDA

Monday, September 27, 2021 (EDT)

10:00

Conference Co-Chairs' Opening Remarks

 **Orisia Gammell**, *Chief Legal Counsel, Export Control US (Global)*, **SAP**

Ilya Shulman, *Executive Director, Global Financial Crime*, **JP Morgan Chase & Co.**

10:10

Monitoring the US Russia Relationship: What to Expect in the Next 6 Months

 **George Kleinfeld**, *Partner*, **Clifford Chance**

Sinead Goss, *EMEA Head, AML and Sanctions Legal*, **Citi**

- Priorities of the Biden Administration and CAATSA update
- Monitoring UK and EU sanctions on Russia
- Lessons learned from recent enforcement action
- Impact of latest activity in Ukraine and Belarus
- Status of Russia Counter Sanctions

11:00

Screening: How to Perform Accurate Due Diligence & Sanctions Screening for Russian Persons and Entities

 **Annie Froehlich**, *Director and Senior Counsel, Sanctions and Export*, **Carrier Corporation**

Orisia Gammell, *Chief Legal Counsel, Export Control US (Global)*, **SAP**

Cristina Brayton-Lewis, *Partner*, **White & Case LLP**

- Where to find necessary information
- How to identify company ownership and controlling interests
- Determining beneficial ownership for shell companies and offshore entities created specifically to obscure the owner.
- Completing enhanced due diligence for potential human rights issues as well as surveillance and military end use involvement
- How to deal with change of ownership in companies post listing of an individual as a SDN to avoid the 50% rule

11:45 **Networking Break**

12:15

50% Rule: How to Apply this Rule in the Real World

This session will let you test your knowledge with audience polling that will present hypothetical examples on applying the 50% rule.

 **Ilya Shulman**, *Executive Director, Global Financial Crime*, **JP Morgan Chase & Co.**

Robert Slack, *Partner*, **Kelley Drye & Warren LLP**

1:00

New Debt Rules and Secondary Sanctions: Tips to Stay Compliant

 **Elizabeth Zane**, *Partner*, **Orrick**

- Implications on new US sanctions on debt
- Navigating securities issues
- Potential sanctions against existing sovereign debt

1:45

Deciphering Rapid and Unpredictable Developments for Energy, Oil and Gas Companies Doing Business in Russia

 **Allyson Miller**, *General Counsel*, **Hunting PLC**

Inna Tsimerman, *Chief International Trade Counsel*, **Gallagher**

Jamie Rogers, *Partner*, **Hogan Lovells**

- Recent actions taken under US sanctions against Russia including the Countering America's Adversaries Through Sanctions Act of 2017 and Protecting Europe's Energy Security Act of 2019
- Consequences and the lessons that entities in this sector can draw from them
- Upheaval in UK sanctions following Brexit and the impacts on the energy sector again affecting Russia and how compliance programmes can appropriately be structured around all these risks
- Update on other US, EU and UK country sanctions programs that have the potential to impact those in or operating in this sector

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“ It was great to join the ACI as a speaker. The audience polls made for an interesting way to present multiple choice questions and the chat function was good for open-ended questions. Very well organized. ”

– Partner, HFW

2:30 Networking Break

3:00

Resolving Red Flags: Common Due Diligence Challenges When Working with Russia


 **Matthew Fogarty**, Counsel, Trade, **General Motors**

Lori Scheetz, Partner, **Wiley Rein LLP**

- Evaluating prospective JVs and other third-party relationships
- Identifying direct and indirect sanctioned ownership risks
- Resolving concerns on tech startups with limited legacy information
- Determining how much due diligence is appropriate (and how often)
- Conducting diligence in the context of sectoral sanctions

3:45

Communicating Sanctions and Business Restrictions to Businesses, Clients and Counterparties: Why You Should Use a Customer Assurance of Compliance

 **Karen Robertson**, Senior Manager-Global Trade Compliance, **Uber Technologies Inc.**

- Financial services KYC best practices
- With a sale to distributor or reseller, ask for assurance that they will not sell to prohibited end user
- Use certification, contract terms and conditions
- Engagement with Russian business partners (suppliers/vendors and customers)
- Put company on notices that compliance is expected

4:30

Q & A with Expert Speakers

5:00 End of Conference

Global Sanctions & Trade Event Calendar



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True Interaction from Start to Finish.

 The **Lobby** is your starting point...


-  View the Schedule
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 The **Sessions** area contains the majority of the conference programming and networking events.

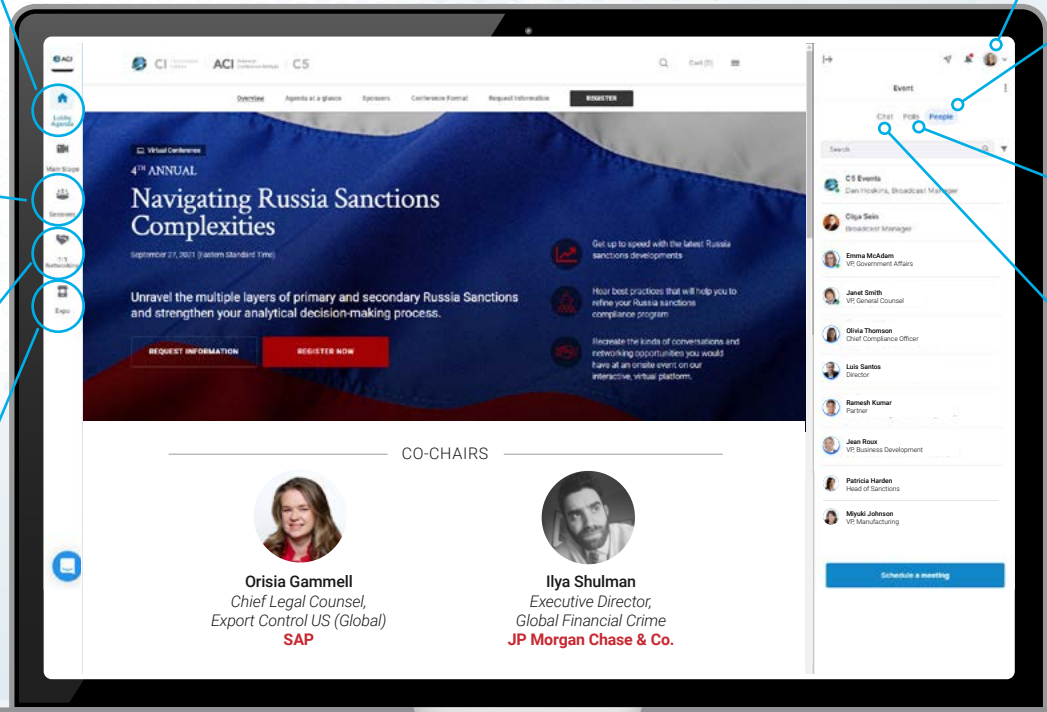
» Here, you can attend live sessions, ask questions, comment in the chat function, and take part in live polling.

 The **1:1 Networking** is a fast-paced opportunity to meet new people and expand your professional network.


Enter this area to be paired up at random with another attendee for a quick video connection.


 Plan to visit the **Expo** often. It will be open before, during, and after the conference.


Use this opportunity to explore new products and services from leading providers, and meet with new and existing partners over video chat.





Maximize Your Conference Experience

 **Update your profile**
Review your profile, upload a picture and connect your social media accounts to personalize your presence.


 **People tab**
You can also engage with other attendees directly. Find a person in the attendee list to send a message and/or an invitation to a video chat.


 **Polling**
Weigh in and seize the opportunity to benchmark with industry peers in real-time on leading issues.


 **Use the Chat feature**
to engage with fellow attendees, speakers and sponsors.

 **Turn your camera on**
for roundtable sessions, networking events and video chats for a more personalized virtual connection.

Make Connections

 Join scheduled "1:1 Networking" sessions. They are an interactive way to expand your network of peers.

 Engage with solution providers of all kinds by visiting the "Expo" to watch video and live demonstrations and for face to face conversations.

 Use the "People" tab to create new relationships and strengthen existing ones within your industry.

About us:



The C5 Group, comprising American Conference Institute, The Canadian Institute and C5 in Europe, is a leading global events and business intelligence company.

For over 30 years, C5 Group has provided the opportunities that bring together business leaders, professionals and international experts from around the world to learn, meet, network and make the contacts that create the opportunities.

Our conferences and related products connect the power of people with the power of information, a powerful combination for business growth and success.



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For more information on ACI's CLE process for virtual events visit: www.americanconference.com/accreditation-instructions-for-virtual-attendance/

3 Ways to Register



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PRICING	Register & Pay by July 30, 2021	Register & Pay by August 27, 2021	Register & Pay after August 27, 2021
Virtual Conference	\$895	\$995	\$1095

All program participants will receive an online link to access the conference materials as part of their registration fee. Additional copies of the Conference Materials available for \$199 per copy.

To update your contact information and preferences, please visit <https://www.AmericanConference.com/preference-center/>. Terms & conditions and refund/cancellation policies can be found at AmericanConference.com/company/faq/

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